



New York City Campaign Finance Board
100 Church Street, 12th Floor, New York, NY 10007
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General Counsel

April 10, 2014

By First Class Mail and E-mail

John A Catsimatidis

[REDACTED]

Kathy Huang

[REDACTED]

FINAL BOARD DETERMINATION

The New York City Campaign Finance Board (“Board” or “CFB”), at a meeting held on April 10, 2014, made the following final determination:

Violations and Penalties

The Board determined that John A Catsimatidis (the “Spender”) violated the New York City Charter (“Charter”) and Board Rules and is liable for paying \$11,473 in penalties as follows:

1. A penalty of \$1,473 for failure to use a “paid for by” notice. *See* Board Rule 13-04. On November 1, 2013, the Spender reported a mass mailing with a total value of \$14,738.28. The mailing did not include a “paid for by” notice, or any indication of who was responsible for it. The Board assessed a penalty of ten percent of the value of the expenditure for this violation.

2. A penalty of \$10,000 for intentional submission of false documentation. *See* N.Y.C. Charter §§ 1052 (a)(15)(b), (c); Board Rule 13-02(b). As detailed in violation #1, on November 1, 2013, the Spender reported a mass mailing with a total value of \$14,738.28. The copy of the communication

submitted with this statement did not include a “paid for by” notice as required by Board Rule 13-04. Following notification by the CFB that the communication did not include the required notice, the Spender’s attorney stated that the document submitted to the CFB was a draft, and subsequently submitted copies of the same communication featuring a “paid for by” notice. However, the second submission bore indicia of not being a true copy of the piece mailed to the public. The “paid for by” notice was located outside the crop marks delineating the borders of the viewable area of a printed document. In addition, the copy showed a line surrounding the “paid for by” notice, suggesting that it was taped onto a hard copy of the communication. CFB staff requested that the Spender provide a hard copy original of the communication to demonstrate that it included a “paid for by” notice. The Spender’s attorney acknowledged the request, but did not provide the requested documentation. The Board assessed a penalty of \$10,000 for this violation.

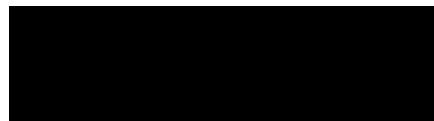
The Board determined that the amount due is \$11,473.

You must pay to the Board the full amount due of \$11,473 no later than May 12, 2014. Checks should be made payable to the “New York City Election Campaign Finance Fund,” and mailed to the attention of Bethany M. Perskie, Associate Counsel, New York City Campaign Finance Board, 100 Church St., 12th Floor, New York, New York 10007, or delivered to the offices of the Board.

If the Board is not in receipt of the full \$11,473 by May 12, 2014, the Spender’s name and the unpaid amount will be posted on the Board’s Website and the Board may initiate a civil action against the Spender to compel payment.

You may challenge this final determination, within four months, in the New York State Supreme Court pursuant to Article 78 of the Civil Practice Law and Rules.

If you need additional time to pay this amount or if you have any questions concerning this Final Board Determination, please contact Bethany M. Perskie, Associate Counsel, at (212) 409-1861 or bperskie@nyccfb.info.



signature on original

Sue Ellen Dodell
General Counsel

**NEW YORK CITY
CAMPAIGN FINANCE BOARD**

WHAT ERIC ULRICH SAYS AND WHAT HE DOES ARE TWO DIFFERENT THINGS

Ulrich Says:

- ✗ I Will Never Support Tax Increases**
- ✗ I Will Represent My Community in City Hall**
- ✗ I Will Fight to Preserve the Middle Class**

Ulrich Does:

- ✗ He Voted for the Budget that Increased Your Property Taxes**
- ✗ He Has One of the Worst Attendance Records in the City Council**
- ✗ He Voted for Legislation That Will Cripple and Over-Tax Small Business Owners**

ULRICH: SIMPLY WRONG

THERE ARE TWO SIDES TO REPUBLICAN ERIC ULRICH:



**HE SAYS ONE THING IN THE COMMUNITY
AND VOTES THE OPPOSITE WAY
IN CITY HALL**

ULRICH: SIMPLY WRONG